IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No		
Filing Date		
nventor		Vladimir Segal et al.
Assignee		Honeywell International Inc.
Group Art Unit		1742
Examiner		H. Wilkins III
Attorney's Docket No		30-5004-DIV2
Title: Methods For Controlling	g The Texture Of Alloys Util	izing Equal Channel Angular
Extrusion		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

To:

Assistant Commissioner for Patents

Washington, D.C. 20231

From:

Jennifer J. Taylor, Ph.D. (Tel. 509-624-4276; Fax 509-838-3424)

Wells St. John P.S.

601 W. First Avenue, Suite 1300

Spokane, WA 99201-3828

Dear Sir:

The Examiner's attention is directed to the references which are listed on the attached Form PTO-1449 and copies of which are attached.

Citation of these references is respectfully requested.

A check in the amount of \$180.00 is enclosed to cover the fee specified under 37 C.F.R. § 1.17(p).

10/03/2002 NMDHAMM1 00000014 09912652

01 FC:126

180.00 OP

Respectfully submitted,

Dated:

Bv:

Jennifer J. Taylor, Ph.D.

Reg. No. 48/711

7	6	02
•	$\overline{}$	~ 0

1742

Approved for use through 09/30/2000. OMB 0651-0031, Please type a plus sign (+) inside this box -> Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/912,652 TRANSMITTAL Filing Date July 24, 2001 **FORM** First Named Inventor Vladimir Segal Group Art Unit (to be used for all correspondence after initial filing) 1742 **Examiner Name** H. Wilkins III Total Number of Pages in This Submission Attorney Docket Number 30-5004-DIV2 ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) and Accompanying Petition After Final Proprietary Information Affidavits/declaration(s) Petition to Convert to a Status Letter Provisional Application Power of Attorney, Revocation. Change of Correspondence Address Additional Enclosure(s) **Extension of Time Request** (please identify below): 2 Return Receipt Postcards Terminal Disclaimer **Express Abandonment Request** PTO-1449 and copies of Small Entity Statement cited references Supplemental Information Disclosure Statement Request for Refund Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name Jennifer J. Taylor, Ph.D.; Wells St. John P.S. Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (11-01) Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMIT Application Number 09/912,652 Filing Date CTO VED for FY 2002 July 24, 2001 First Named Inventor Vladimir Segal Patent fees are subject to annual revision. **Examiner Name** Wilkins III Applicant claims small entity status. See 37 CFR 1.27 Group Art Unit 1742 TOTAL AMOUNT OF PAYMENT 180.00 Attorney Döcket No. 30-5004-DIV2 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Order 3. ADDITIONAL FEES X Check Credit card Other None Large Entity Small Entity Deposit Account: Fee Fee Code (\$) Fee Paid Deposit Account Fee Fee Code (\$) Fee Description 23-0925 Number 105 130 205 65 Surcharge - late filing fee or oath Deposit Account Name |Wells St. John P.S 127 50 227 25 Surcharge - late provisional filing fee or cover sheet The Commissioner is authorized to: (check all that apply) 139 130 139 130 Non-English specification Charge fee(s) indicated below Credit any overpayments 147 2,520 147 2,520 For filing a request for ex parte reexamination Charge any additional fee(s) during the pendency of this application Requesting publication of SIR prior to

Charge fee(s) indicated below, except for the filing fee				020	''-	520	Examiner action	1 .		
to the above in			CCOUNT.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC F			LOCEATION		115	110	215	55	Extension for reply within first month	
Large Entity					116	400	216	200	Extension for reply within second month	
Fee Fee	Fee Fe	e	Fee Description		117	920	217	460	Extension for reply within third month	
Code (\$)	Code (\$)	-		Fee Paid	118	1,440	218	720	Extension for reply within fourth month	
101 740	201 370		Utility filing fee		128	1,960	228	980	Extension for reply within fifth month	<i>'</i>
106 330	206 165		Design filing fee		119	320	219		• •	
107 510	207 255		Plant filing fee	<u> </u>	120	320	220		Notice of Appeal	
108 740	208 370		Reissue filing fee						Filing a brief in support of an appeal	
114 160	214 80	0	Provisional filing f	ee L	121	280	221	140	Request for oral hearing	
	•	SI	JBTOTAL (1)	(\$) 0 00	138	1,510	138	1,510	Petition to institute a public use proceeding	
					.140	110	240	55	Petition to revive - unavoidable	
2. EXTRA (CLAIM F	EES	FOR UTILITY	AND REISSUE	141	1,280	241	640	Petition to revive - unintentional	
		E		Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)	
Total Claims		-20**	= x	=	143	460	243	230	Design issue fee	
Independent - 3** = X =				144	620	244	310	Plant issue fee		
Multiple Deper	ndent			=	122	130	122	130	Petitions to the Commissioner	ļ
					123	50	123	50	Processing fee under 37 CFR 1.17(q)	ļ
Large Entity Fee Fee	Fee F	ee	Fee Description	na .	126	180	126	180	Submission of Information Disclosure Stmt	180
Code (\$)	Code (581	40	581	40	Recording each patent assignment per	
103 18		9	Claims in excess	of 20					property (times number of properties)	
102 84	202 4	12	Independent clair	ns in excess of 3	146	740	246	370	Filing a submission after final rejection	
104 280	204 14	40	Multiple depende	nt claim, if not paid		740	0.46	220	(37 CFR § 1.129(a))	
109 84	209 4	2	** Reissue indepe over original pa		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
110 18	210	9	** Reissue claims	in excess of 20	179	740	279	370	Request for Continued Examination (RCE)	
•		and over original patent		169	900	169	900	Request for expedited examination of a design application	,	
	:	SUBT	OTAL (2)	(\$) 0.00	Othe	r fee (sp	ecify)		

SUBMITTED BY

Name (Printi Type)

Signature

Complete (i applicable)

Telephone 509-624-4276

Date 9-27-2002

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SUBTOTAL (3)

|(\$) 180.00